          The BGP Encapsulation Subsequent Address Family Identifier (SAFI)
                  and the BGP Tunnel Encapsulation Attribute

Status of This Memo

   This document specifies an Internet standards track protocol for the
   Internet community, and requests discussion and suggestions for
   improvements. Please refer to the current edition of the "Internet
   Official Protocol Standards" (STD 1) for the standardization state
   and status of this protocol. Distribution of this memo is unlimited.

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Abstract

   In certain situations, transporting a packet from one Border Gateway
   Protocol (BGP) speaker to another (the BGP next hop) requires that
   the packet be encapsulated by the first BGP speaker and decapsulated
   by the second. To support these situations, there needs to be some
   agreement between the two BGP speakers with regard to the
   "encapsulation information", i.e., the format of the encapsulation
   header as well as the contents of various fields of the header.

   The encapsulation information need not be signaled for all
   encapsulation types. In cases where signaling is required (such as
   Layer Two Tunneling Protocol - Version 3 (L2TPv3) or Generic Routing
   Encapsulation (GRE) with key), this document specifies a method by
   which BGP speakers can signal encapsulation information to each
   other. The signaling is done by sending BGP updates using the
   Encapsulation Subsequent Address Family Identifier (SAFI) and the
   IPv4 or IPv6 Address Family Identifier (AFI). In cases where no
   encapsulation information needs to be signaled (such as GRE without

key), this document specifies a BGP extended community that can be
attached to BGP UPDATE messages that carry payload prefixes in order
to indicate the encapsulation protocol type to be used.

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1. Introduction

   Consider the case of a router R1 forwarding an IP packet P. Let D be
   P's IP destination address. R1 must look up D in its forwarding
   table. Suppose that the "best match" route for D is route Q, where Q
   is a BGP-distributed route whose "BGP next hop" is router R2. And
   suppose further that the routers along the path from R1 to R2 have
   entries for R2 in their forwarding tables, but do NOT have entries
   for D in their forwarding tables. For example, the path from R1 to
   R2 may be part of a "BGP-free core", where there are no BGP-
   distributed routes at all in the core. Or, as in [MESH], D may be an
   IPv4 address while the intermediate routers along the path from R1 to
   R2 may support only IPv6.

   In cases such as this, in order for R1 to properly forward packet P,
   it must encapsulate P and send P "through a tunnel" to R2. For
   example, R1 may encapsulate P using GRE, L2TPv3, IP in IP, etc.,
   where the destination IP address of the encapsulation header is the
   address of R2.

   In order for R1 to encapsulate P for transport to R2, R1 must know
   what encapsulation protocol to use for transporting different sorts
   of packets to R2. R1 must also know how to fill in the various

fields of the encapsulation header. With certain encapsulation
types, this knowledge may be acquired by default or through manual
configuration. Other encapsulation protocols have fields such as
session id, key, or cookie that must be filled in. It would not be
desirable to require every BGP speaker to be manually configured with
the encapsulation information for every one of its BGP next hops.

In this document, we specify a way in which BGP itself can be used by
a given BGP speaker to tell other BGP speakers, "if you need to
encapsulate packets to be sent to me, here's the information you need
to properly form the encapsulation header". A BGP speaker signals
this information to other BGP speakers by using a distinguished SAFI
value, the Encapsulation SAFI. The Encapsulation SAFI can be used
with the AFI for IPv4 or with the AFI for IPv6. The IPv4 AFI is used
when the encapsulated packets are to be sent using IPv4; the IPv6 AFI
is used when the encapsulated packets are to be sent using IPv6.

In a given BGP update, the Network Layer Reachability Information
(NLRI) of the Encapsulation SAFI consists of the IP address (in the
family specified by the AFI) of the originator of that update. The
encapsulation information is specified in the BGP "tunnel
encapsulation attribute" (specified herein). This attribute
specifies the encapsulation protocols that may be used as well as
whatever additional information (if any) is needed in order to
properly use those protocols. Other attributes, e.g., communities or
extended communities, may also be included.

Since the encapsulation information is coded as an attribute, one
could ask whether a new SAFI is really required. After all, a BGP
speaker could simply attach the tunnel encapsulation attribute to
each prefix (like Q in our example) that it advertises. But with
that technique, any change in the encapsulation information would
cause a very large number of updates. Unless one really wants to
specify different encapsulation information for each prefix, it is
much better to have a mechanism in which a change in the
encapsulation information causes a BGP speaker to advertise only a
single update. Conversely, when prefixes get modified, the tunnel
encapsulation information need not be exchanged.

In this specification, a single SAFI is used to carry information for
all encapsulation protocols. One could have taken an alternative
approach of defining a new SAFI for each encapsulation protocol.
However, with the specified approach, encapsulation information can
pass transparently and automatically through intermediate BGP
speakers (e.g., route reflectors) that do not necessarily understand
the encapsulation information. This works because the encapsulation
attribute is defined as an optional transitive attribute. New
encapsulations can thus be added without the need to reconfigure any

intermediate BGP system. If adding a new encapsulation required
using a new SAFI, the information for that encapsulation would not
pass through intermediate BGP systems unless those systems were
reconfigured to support the new SAFI.

For encapsulation protocols where no encapsulation information needs
to be signaled (such as GRE without key), the egress router MAY still
want to specify the protocol to use for transporting packets from the
ingress router. This document specifies a new BGP extended community
that can be attached to UPDATE messages that carry payload prefixes
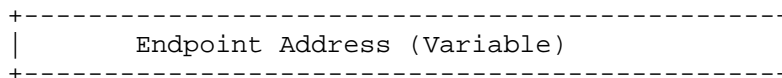for this purpose.

2. Specification of Requirements

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",
"SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this
document are to be interpreted as described in [RFC2119].

3. Encapsulation NLRI Format

The NLRI, defined below, is carried in BGP UPDATE messages [RFC4271]
using BGP multiprotocol extensions [RFC4760] with an AFI of 1 or 2
(IPv4 or IPv6) [IANA-AF] and a SAFI value of 7 (called an
Encapsulation SAFI).

The NLRI is encoded in a format defined in Section 5 of [RFC4760] (a
2-tuple of the form <length, value>). The value field is structured
as follows:

```
+-------------------------------------------------+
|            Endpoint Address (Variable)          |
+-------------------------------------------------+
```

- Endpoint Address: This field identifies the BGP speaker originating
  the update. It is typically one of the interface addresses
  configured at the router. The length of the endpoint address is
  dependent on the AFI being advertised. If the AFI is set to IPv4
  (1), then the endpoint address is a 4-octet IPv4 address, whereas
  if the AFI is set to IPv6 (2), the endpoint address is a 16-octet
  IPv6 address.

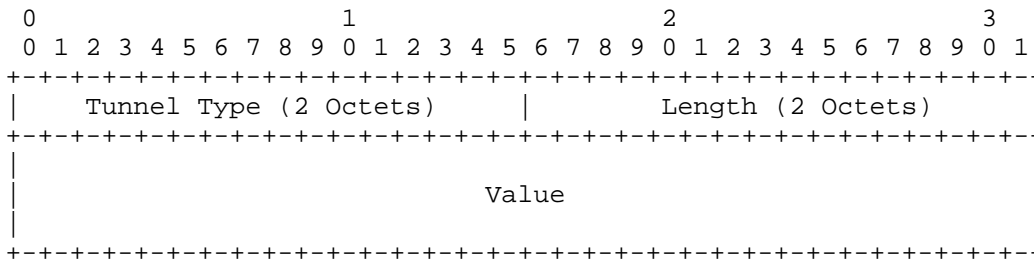An update message that carries the MP_REACH_NLRI or MP_UNREACH_NLRI
attribute with the Encapsulation SAFI MUST also carry the BGP
mandatory attributes: ORIGIN, AS_PATH, and LOCAL_PREF (for IBGP
neighbors), as defined in [RFC4271]. In addition, such an update
message can also contain any of the BGP optional attributes, like the
Community or Extended Community attribute, to influence an action on
the receiving speaker.

When a BGP speaker advertises the Encapsulation NLRI via BGP, it uses
its own address as the BGP nexthop in the MP_REACH_NLRI or
MP_UNREACH_NLRI attribute. The nexthop address is set based on the
AFI in the attribute. For example, if the AFI is set to IPv4 (1),
the nexthop is encoded as a 4-byte IPv4 address. If the AFI is set
to IPv6 (2), the nexthop is encoded as a 16-byte IPv6 address of the
router. On the receiving router, the BGP nexthop of such an update
message is validated by performing a recursive route lookup operation
in the routing table.

Bestpath selection of Encapsulation NLRIs is governed by the decision
process outlined in Section 9.1 of [RFC4271]. The encapsulation data
carried through other attributes in the message are to be used by the
receiving router only if the NLRI has a bestpath.

4. Tunnel Encapsulation Attribute

   The Tunnel Encapsulation attribute is an optional transitive
   attribute that is composed of a set of Type-Length-Value (TLV)
   encodings. The type code of the attribute is 23. Each TLV contains
   information corresponding to a particular tunnel technology. The TLV
   is structured as follows:

```
     0                   1                   2                   3
     0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
    |     Tunnel Type (2 Octets)    |       Length (2 Octets)       |
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
    |                                                               |
    |                            Value                              |
    |                                                               |
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

   * Tunnel Type (2 octets): identifies the type of tunneling technology
     being signaled. This document defines the following types:

     - L2TPv3 over IP [RFC3931]: Tunnel Type = 1
     - GRE [RFC2784]: Tunnel Type = 2
     - IP in IP [RFC2003] [RFC4213]: Tunnel Type = 7

     Unknown types are to be ignored and skipped upon receipt.

   * Length (2 octets): the total number of octets of the value field.

   * Value (variable): comprised of multiple sub-TLVs. Each sub-TLV
     consists of three fields: a 1-octet type, 1-octet length, and zero
     or more octets of value. The sub-TLV is structured as follows:

```
                +--------------------------------+
                |      Sub-TLV Type (1 Octet)    |
                +--------------------------------+
                |     Sub-TLV Length (1 Octet)   |
                +--------------------------------+
                |     Sub-TLV Value (Variable)   |
                |                                |
                +--------------------------------+
```
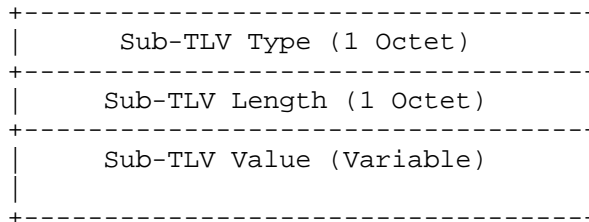
   * Sub-TLV Type (1 octet): each sub-TLV type defines a certain
     property about the tunnel TLV that contains this sub-TLV. The
     following are the types defined in this document:

     - Encapsulation: sub-TLV type = 1
     - Protocol type: sub-TLV type = 2
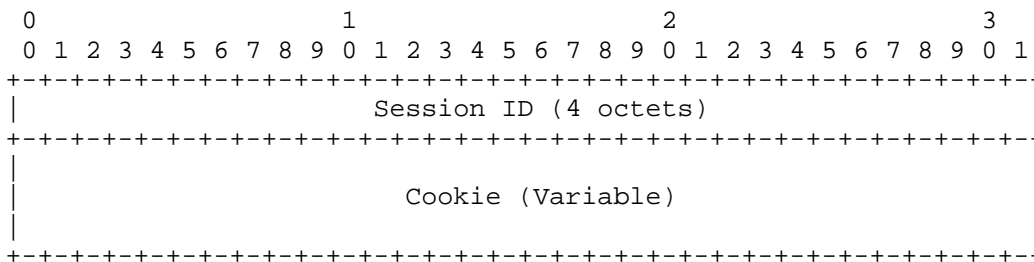   - Color: sub-TLV type = 4

     When the TLV is being processed by a BGP speaker that will be
     performing encapsulation, any unknown sub-TLVs MUST be ignored and
     skipped. However, if the TLV is understood, the entire TLV MUST
     NOT be ignored just because it contains an unknown sub-TLV.

   * Sub-TLV Length (1 octet): the total number of octets of the sub-TLV
     value field.

   * Sub-TLV Value (variable): encodings of the value field depend on
     the sub-TLV type as enumerated above. The following sub-sections
     define the encoding in detail.

4.1. Encapsulation Sub-TLV

   The syntax and semantics of the encapsulation sub-TLV is determined
   by the tunnel type of the TLV that contains this sub-TLV.

   When the tunnel type of the TLV is L2TPv3 over IP, the following is
   the structure of the value field of the encapsulation sub-TLV:

```
    0                   1                   2                   3
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
   |                     Session ID (4 octets)                     |
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
   |                                                               |
   |                      Cookie (Variable)                        |
   |                                                               |
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

   * Session ID: a non-zero 4-octet value locally assigned by the
     advertising router that serves as a lookup key in the incoming
     packet's context.

   * Cookie: an optional, variable length (encoded in octets -- 0 to 8
     octets) value used by L2TPv3 to check the association of a received
     data message with the session identified by the Session ID.
     Generation and usage of the cookie value is as specified in
     [RFC3931].

     The length of the cookie is not encoded explicitly, but can be
     calculated as (sub-TLV length - 4).
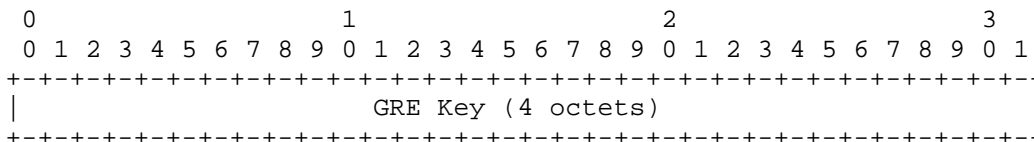
   When the tunnel type of the TLV is GRE, the following is the
   structure of the value field of the encapsulation sub-TLV:

```
    0                   1                   2                   3
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
   |                      GRE Key (4 octets)                       |
   +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

   * GRE Key: 4-octet field [RFC2890] that is generated by the
     advertising router. The actual method by which the key is obtained
     is beyond the scope of this document. The key is inserted into the
     GRE encapsulation header of the payload packets sent by ingress
     routers to the advertising router. It is intended to be used for
     identifying extra context information about the received payload.

     Note that the key is optional. Unless a key value is being
     advertised, the GRE encapsulation sub-TLV MUST NOT be present.

4.2. Protocol Type Sub-TLV

   The protocol type sub-TLV MAY be encoded to indicate the type of the
   payload packets that will be encapsulated with the tunnel parameters
   that are being signaled in the TLV. The value field of the sub-TLV
   contains a 2-octet protocol type that is one of the types defined in
   [IANA-AF] as ETHER TYPEs.

   For example, if we want to use three L2TPv3 sessions, one carrying
   IPv4 packets, one carrying IPv6 packets, and one carrying MPLS
   packets, the egress router will include three TLVs of L2TPv3
   encapsulation type, each specifying a different Session ID and a
   different payload type. The protocol type sub-TLV for these will be
   IPv4 (protocol type = 0x0800), IPv6 (protocol type = 0x86dd), and
   MPLS (protocol type = 0x8847), respectively. This informs the
   ingress routers of the appropriate encapsulation information to use

with each of the given protocol types. Insertion of the specified
Session ID at the ingress routers allows the egress to process the
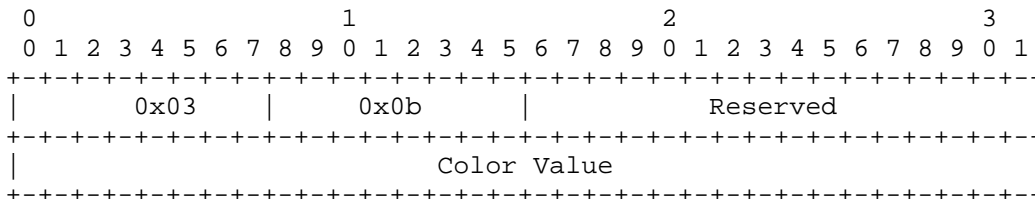incoming packets correctly, according to their protocol type.

Inclusion of this sub-TLV depends on the tunnel type. It MUST be
encoded for L2TPv3 tunnel type. On the other hand, the protocol type
sub-TLV is not required for IP in IP or GRE tunnels.

4.3. Color Sub-TLV

The color sub-TLV MAY be encoded as a way to color the corresponding
tunnel TLV. The value field of the sub-TLV contains an extended
community that is defined as follows:

4.3.1. Color Extended Community

The Color Extended Community is an opaque extended community
[RFC4360] with the following encoding:

```
 0                   1                   2                   3
 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
|     0x03      |     0x0b      |            Reserved            |
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
|                          Color Value                          |
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

The value of the high-order octet of the extended type field is 0x03,
which indicates it is transitive. The value of the low-order octet
of the extended type field for this community is 0x0b. The color
value is user defined and configured locally on the routers. The
same Color Extended Community can then be attached to the UPDATE
messages that contain payload prefixes. This way, the BGP speaker
can express the fact that it expects the packets corresponding to
these payload prefixes to be received with a particular tunnel
encapsulation header.

4.4. Tunnel Type Selection

A BGP speaker may include multiple tunnel TLVs in the tunnel
attribute. The receiving speaker MAY have local policies defined to
choose different tunnel types for different sets/types of payload
prefixes received from the same BGP speaker. For instance, if a BGP
speaker includes both L2TPv3 and GRE tunnel types in the tunnel
attribute and it also advertises IPv4 and IPv6 prefixes, the ingress
router may have local policy defined to choose L2TPv3 for IPv4
prefixes (provided the protocol type received in the tunnel attribute
matches) and GRE for IPv6 prefixes.

Additionally, the Encapsulation SAFI UPDATE message can contain a
color sub-TLV for some or all of the tunnel TLVs. The BGP speaker
SHOULD then attach a Color Extended Community to payload prefixes to
select the appropriate tunnel types.

In a multi-vendor deployment that has routers supporting different
tunneling technologies, including color sub-TLV to the Encapsulation
SAFI UPDATE message can serve as a classification mechanism (for
example, set A of routers for GRE and set B of routers for L2TPv3).
The ingress router can then choose the encapsulation data
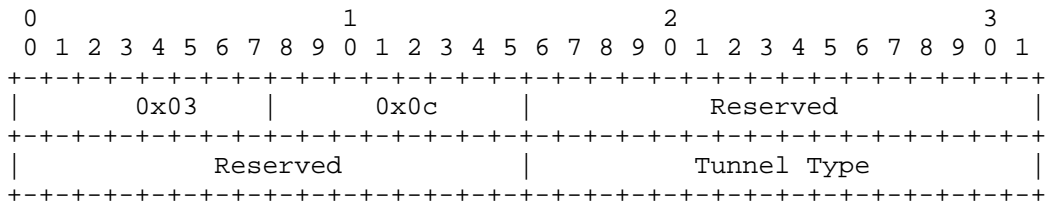appropriately while sending packets to an egress router.

If a BGP speaker originates an update for prefix P with color C and
with itself as the next hop, then it MUST also originate an
Encapsulation SAFI update that contains the color C.

Suppose that a BGP speaker receives an update for prefix P with color
C, that the BGP decision procedure has selected the route in that
update as the best route to P, and that the next hop is node N, but
that an Encapsulation SAFI update originating from node N containing
color C has not been received. In this case, no route to P will be
installed in the forwarding table unless and until the corresponding
Encapsulation SAFI update is received, or the BGP decision process
selects a different route.

Suppose that a BGP speaker receives an "uncolored" update for prefix
P, with next hop N, and that the BGP speaker has also received an
Encapsulation SAFI originated by N, specifying one or more
encapsulations that may or may not be colored. In this case, the
choice of encapsulation is a matter of local policy. The only
"default policy" necessary is to choose one of the encapsulations
supported by the speaker.

4.5. BGP Encapsulation Extended Community

Here, we define a BGP opaque extended community that can be attached
to BGP UPDATE messages to indicate the encapsulation protocol to be
used for sending packets from an ingress router to an egress router.
Considering our example from the Section 1, R2 MAY include this
extended community, specifying a particular tunnel type to be used in
the UPDATE message that carries route Q to R1. This is useful if
there is no explicit encapsulation information to be signaled using
the Encapsulation SAFI for a tunneling protocol (such as GRE without
key).

```
     0                   1                   2                   3
     0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
    |      0x03     |      0x0c      |            Reserved           |
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
    |            Reserved           |          Tunnel Type          |
    +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
```

The value of the high-order octet of the extended type field is 0x03,
which indicates it's transitive. The value of the low-order octet of
the extended type field is 0x0c.

The last two octets of the value field encode a tunnel type as
defined in this document.

For interoperability, a speaker supporting Encapsulation SAFI MUST
implement the Encapsulation Extended Community.

5. Capability Advertisement

A BGP speaker that wishes to exchange tunnel endpoint information
must use the Multiprotocol Extensions Capability Code as defined in
[RFC4760], to advertise the corresponding (AFI, SAFI) pair.

6. Error Handling

When a BGP speaker encounters an error while parsing the tunnel
encapsulation attribute, the speaker MUST treat the UPDATE as a
withdrawal of existing routes to the included Encapsulation SAFI
NLRIs, or discard the UPDATE if no such routes exist. A log entry
should be raised for local analysis.

7. Security Considerations

Security considerations applicable to softwires can be found in the
mesh framework [MESH]. In general, security issues of the tunnel
protocols signaled through Encapsulation SAFI are inherited.

If a third party is able to modify any of the information that is
used to form encapsulation headers, to choose a tunnel type, or to
choose a particular tunnel for a particular payload type, user data
packets may end up getting misrouted, misdelivered, and/or dropped.

8. IANA Considerations

IANA assigned value 7 from the "Subsequent Address Family" Registry,
in the "Standards Action" range, to "Encapsulation SAFI", with this
document as the reference.

IANA assigned value 23 from the "BGP Path Attributes" Registry, to "Tunnel Encapsulation Attribute", with this document as the reference.

IANA assigned two new values from the "BGP Opaque Extended Community" type Registry. Both are from the transitive range. The first new value is called "Color Extended Community" (0x030b), and the second is called "Encapsulation Extended Community"(0x030c). This document is the reference for both assignments.

IANA set up a registry for "BGP Tunnel Encapsulation Attribute Tunnel Types". This is a registry of two-octet values (0-65535), to be assigned on a first-come, first-served basis. The initial assignments are as follows:

```
   Tunnel Name                              Type
   ---------------                          -----
   L2TPv3 over IP                             1
   GRE                                        2
   IP in IP                                   7
```

IANA set up a registry for "BGP Tunnel Encapsulation Attribute Sub-TLVs". This is a registry of 1-octet values (0-255), to be assigned on a "standards action/early allocation" basis. This document is the reference. The initial assignments are:

```
   Sub-TLV name                             Type
   -------------                            -----
   Encapsulation                              1
   Protocol Type                              2
   Color                                      4
```

9. Acknowledgements

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10. References

10.1. Normative References

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               Border Gateway Protocol 4 (BGP-4)", RFC 4271, January
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               "Layer Two Tunneling Protocol - Version 3 (L2TPv3)", RFC
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   [RFC2784]   Farinacci, D., Li, T., Hanks, S., Meyer, D., and P.
               Traina, "Generic Routing Encapsulation (GRE)", RFC 2784,
               March 2000.

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10.2. Informative References

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