

---

Stream: Internet Engineering Task Force (IETF)  
RFC: [8696](#)  
Category: Standards Track  
Published: December 2019  
ISSN: 2070-1721  
Author: R. Housley  
*Vigil Security*

## RFC 8696

# Using Pre-Shared Key (PSK) in the Cryptographic Message Syntax (CMS)

---

### Abstract

The invention of a large-scale quantum computer would pose a serious challenge for the cryptographic algorithms that are widely deployed today. The Cryptographic Message Syntax (CMS) supports key transport and key agreement algorithms that could be broken by the invention of such a quantum computer. By storing communications that are protected with the CMS today, someone could decrypt them in the future when a large-scale quantum computer becomes available. Once quantum-secure key management algorithms are available, the CMS will be extended to support the new algorithms if the existing syntax does not accommodate them. This document describes a mechanism to protect today's communication from the future invention of a large-scale quantum computer by mixing the output of key transport and key agreement algorithms with a pre-shared key.

### Status of This Memo

This is an Internet Standards Track document.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Further information on Internet Standards is available in Section 2 of RFC 7841.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at <https://www.rfc-editor.org/info/rfc8696>.

### Copyright Notice

Copyright (c) 2019 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<https://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

## Table of Contents

- 1. Introduction
  - 1.1. Terminology
  - 1.2. ASN.1
  - 1.3. Version Numbers
- 2. Overview
- 3. keyTransPSK
- 4. keyAgreePSK
- 5. Key Derivation
- 6. ASN.1 Module
- 7. Security Considerations
- 8. Privacy Considerations
- 9. IANA Considerations
- 10. References
  - 10.1. Normative References
  - 10.2. Informative References
- Appendix A. Key Transport with PSK Example
  - A.1. Originator Processing Example
  - A.2. ContentInfo and AuthEnvelopedData
  - A.3. Recipient Processing Example
- Appendix B. Key Agreement with PSK Example
  - B.1. Originator Processing Example
  - B.2. ContentInfo and AuthEnvelopedData
  - B.3. Recipient Processing Example
- Acknowledgements
- Author's Address

## 1. Introduction

The invention of a large-scale quantum computer would pose a serious challenge for the cryptographic algorithms that are widely deployed today [S1994]. It is an open question whether or not it is feasible to build a large-scale quantum computer and, if so, when that might happen [NAS2019]. However, if such a quantum computer is invented, many of the cryptographic algorithms and the security protocols that use them would become vulnerable.

The Cryptographic Message Syntax (CMS) [RFC5652][RFC5083] supports key transport and key agreement algorithms that could be broken by the invention of a large-scale quantum computer [C2PQ]. These algorithms include RSA [RFC8017], Diffie-Hellman [RFC2631], and Elliptic Curve Diffie-Hellman (ECDH) [RFC5753]. As a result, an adversary that stores CMS-protected communications today could decrypt those communications in the future when a large-scale quantum computer becomes available.

Once quantum-secure key management algorithms are available, the CMS will be extended to support them if the existing syntax does not already accommodate the new algorithms.

In the near term, this document describes a mechanism to protect today's communication from the future invention of a large-scale quantum computer by mixing the output of existing key transport and key agreement algorithms with a pre-shared key (PSK). Secure communication can be achieved today by mixing a strong PSK with the output of an existing key transport algorithm, like RSA [RFC8017], or an existing key agreement algorithm, like Diffie-Hellman [RFC2631] or Elliptic Curve Diffie-Hellman (ECDH) [RFC5753]. A security solution that is believed to be quantum resistant can be achieved by using a PSK with sufficient entropy along with a quantum-resistant key derivation function (KDF), like an HMAC-based key derivation function (HKDF) [RFC5869], and a quantum-resistant encryption algorithm, like 256-bit AES [AES]. In this way, today's CMS-protected communication can be resistant to an attacker with a large-scale quantum computer.

In addition, there may be other reasons for including a strong PSK besides protection against the future invention of a large-scale quantum computer. For example, there is always the possibility of a cryptanalytic breakthrough on one or more classic public key algorithms, and there are longstanding concerns about undisclosed trapdoors in Diffie-Hellman parameters [FGHT2016]. Inclusion of a strong PSK as part of the overall key management offers additional protection against these concerns.

Note that the CMS also supports key management techniques based on symmetric key-encryption keys and passwords, but they are not discussed in this document because they are already quantum resistant. The symmetric key-encryption key technique is quantum resistant when used with an adequate key size. The password technique is quantum resistant when used with a quantum-resistant key derivation function and a sufficiently large password.

## 1.1. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

## 1.2. ASN.1

CMS values are generated using ASN.1 [X680], which uses the Basic Encoding Rules (BER) and the Distinguished Encoding Rules (DER) [X690].

## 1.3. Version Numbers

The major data structures include a version number as the first item in the data structure. The version number is intended to avoid ASN.1 decode errors. Some implementations do not check the version number prior to attempting a decode; then, if a decode error occurs, the version number is checked as part of the error-handling routine. This is a reasonable approach; it places error processing outside of the fast path. This approach is also forgiving when an incorrect version number is used by the sender.

Whenever the structure is updated, a higher version number will be assigned. However, to ensure maximum interoperability, the higher version number is only used when the new syntax feature is employed. That is, the lowest version number that supports the generated syntax is used.

## 2. Overview

The CMS enveloped-data content type [RFC5652] and the CMS authenticated-enveloped-data content type [RFC5083] support both key transport and key agreement public key algorithms to establish the key used to encrypt the content. No restrictions are imposed on the key transport or key agreement public key algorithms, which means that any key transport or key agreement algorithm can be used, including algorithms that are specified in the future. In both cases, the sender randomly generates the content-encryption key, and then all recipients obtain that key. All recipients use the sender-generated symmetric content-encryption key for decryption.

This specification defines two quantum-resistant ways to establish a symmetric key-encryption key, which is used to encrypt the sender-generated content-encryption key. In both cases, the PSK is used as one of the inputs to a key-derivation function to create a quantum-resistant key-encryption key. The PSK **MUST** be distributed to the sender and all of the recipients by some out-of-band means that does not make it vulnerable to the future invention of a large-scale quantum computer, and an identifier **MUST** be assigned to the PSK. It is best if each PSK has a unique identifier; however, if a recipient has more than one PSK with the same identifier, the recipient can try each of them in turn. A PSK is expected to be used with many messages, with a lifetime of weeks or months.

The content-encryption key or content-authenticated-encryption key is quantum resistant, and the sender establishes it using these steps:

When using a key transport algorithm:

1. The content-encryption key or the content-authenticated-encryption key, called "CEK", is generated at random.
2. The key-derivation key, called "KDK", is generated at random.
3. For each recipient, the KDK is encrypted in the recipient's public key, then the KDF is used to mix the PSK and the KDK to produce the key-encryption key, called "KEK".
4. The KEK is used to encrypt the CEK.

When using a key agreement algorithm:

1. The content-encryption key or the content-authenticated-encryption key, called "CEK", is generated at random.
2. For each recipient, a pairwise key-encryption key, called "KEK1", is established using the recipient's public key and the sender's private key. Note that KEK1 will be used as a key-derivation key.
3. For each recipient, the KDF is used to mix the PSK and the pairwise KEK1, and the result is called "KEK2".
4. For each recipient, the pairwise KEK2 is used to encrypt the CEK.

As specified in [Section 6.2.5](#) of [RFC5652], recipient information for additional key management techniques is represented in the OtherRecipientInfo type. Two key management techniques are specified in this document, and they are each identified by a unique ASN.1 object identifier.

The first key management technique, called "keyTransPSK" (see [Section 3](#)), uses a key transport algorithm to transfer the key-derivation key from the sender to the recipient, and then the key-derivation key is mixed with the PSK using a KDF. The output of the KDF is the key-encryption key, which is used for the encryption of the content-encryption key or content-authenticated-encryption key.

The second key management technique, called "keyAgreePSK" (see [Section 4](#)), uses a key agreement algorithm to establish a pairwise key-encryption key. This pairwise key-encryption key is then mixed with the PSK using a KDF to produce a second pairwise key-encryption key, which is then used to encrypt the content-encryption key or content-authenticated-encryption key.

### 3. keyTransPSK

Per-recipient information using keyTransPSK is represented in the KeyTransPSKRecipientInfo type, which is indicated by the id-ori-keyTransPSK object identifier. Each instance of KeyTransPSKRecipientInfo establishes the content-encryption key or content-authenticated-encryption key for one or more recipients that have access to the same PSK.

The id-ori-keyTransPSK object identifier is:

```
id-ori OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
  rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) 13 }

id-ori-keyTransPSK OBJECT IDENTIFIER ::= { id-ori 1 }
```

The KeyTransPSKRecipientInfo type is:

```
KeyTransPSKRecipientInfo ::= SEQUENCE {
  version CMSVersion, -- always set to 0
  pskid PreSharedKeyIdentifier,
  kdfAlgorithm KeyDerivationAlgorithmIdentifier,
  keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
  ktris KeyTransRecipientInfos,
  encryptedKey EncryptedKey }

PreSharedKeyIdentifier ::= OCTET STRING

KeyTransRecipientInfos ::= SEQUENCE OF KeyTransRecipientInfo
```

The fields of the KeyTransPSKRecipientInfo type have the following meanings:

- version is the syntax version number. The version **MUST** be 0. The CMSVersion type is described in [Section 10.2.5](#) of [\[RFC5652\]](#).
- pskid is the identifier of the PSK used by the sender. The identifier is an OCTET STRING, and it need not be human readable.
- kdfAlgorithm identifies the key-derivation algorithm and any associated parameters used by the sender to mix the key-derivation key and the PSK to generate the key-encryption key. The KeyDerivationAlgorithmIdentifier is described in [Section 10.1.6](#) of [\[RFC5652\]](#).
- keyEncryptionAlgorithm identifies a key-encryption algorithm used to encrypt the content-encryption key. The KeyEncryptionAlgorithmIdentifier is described in [Section 10.1.3](#) of [\[RFC5652\]](#).
- ktris contains one KeyTransRecipientInfo type for each recipient; it uses a key transport algorithm to establish the key-derivation key. That is, the encryptedKey field of KeyTransRecipientInfo contains the key-derivation key instead of the content-encryption key. KeyTransRecipientInfo is described in [Section 6.2.1](#) of [\[RFC5652\]](#).
- encryptedKey is the result of encrypting the content-encryption key or the content-authenticated-encryption key with the key-encryption key. EncryptedKey is an OCTET STRING.

## 4. keyAgreePSK

Per-recipient information using keyAgreePSK is represented in the KeyAgreePSKRecipientInfo type, which is indicated by the id-ori-keyAgreePSK object identifier. Each instance of KeyAgreePSKRecipientInfo establishes the content-encryption key or content-authenticated-encryption key for one or more recipients that have access to the same PSK.

The id-ori-keyAgreePSK object identifier is:

```
id-ori-keyAgreePSK OBJECT IDENTIFIER ::= { id-ori 2 }
The KeyAgreePSKRecipientInfo type is:

KeyAgreePSKRecipientInfo ::= SEQUENCE {
    version CMSVersion, -- always set to 0
    pskid PreSharedKeyIdentifier,
    originator [0] EXPLICIT OriginatorIdentifierOrKey,
    ukm [1] EXPLICIT UserKeyingMaterial OPTIONAL,
    kdfAlgorithm KeyDerivationAlgorithmIdentifier,
    keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
    recipientEncryptedKeys RecipientEncryptedKeys }
```

The fields of the KeyAgreePSKRecipientInfo type have the following meanings:

- version is the syntax version number. The version **MUST** be 0. The CMSVersion type is described in [Section 10.2.5](#) of [RFC5652].
- pskid is the identifier of the PSK used by the sender. The identifier is an OCTET STRING, and it need not be human readable.
- originator is a CHOICE with three alternatives specifying the sender's key agreement public key. Implementations **MUST** support all three alternatives for specifying the sender's public key. The sender uses their own private key and the recipient's public key to generate a pairwise key-encryption key. A KDF is used to mix the PSK and the pairwise key-encryption key to produce a second key-encryption key. The OriginatorIdentifierOrKey type is described in [Section 6.2.2](#) of [RFC5652].
- ukm is optional. With some key agreement algorithms, the sender provides a User Keying Material (UKM) to ensure that a different key is generated each time the same two parties generate a pairwise key. Implementations **MUST** accept a KeyAgreePSKRecipientInfo SEQUENCE that includes a ukm field. Implementations that do not support key agreement algorithms that make use of UKMs **MUST** gracefully handle the presence of UKMs. The UserKeyingMaterial type is described in [Section 10.2.6](#) of [RFC5652].
- kdfAlgorithm identifies the key-derivation algorithm and any associated parameters used by the sender to mix the pairwise key-encryption key and the PSK to produce a second key-encryption key of the same length as the first one. The KeyDerivationAlgorithmIdentifier is described in [Section 10.1.6](#) of [RFC5652].
- keyEncryptionAlgorithm identifies a key-encryption algorithm used to encrypt the content-encryption key or the content-authenticated-encryption key. The KeyEncryptionAlgorithmIdentifier type is described in [Section 10.1.3](#) of [RFC5652].

- `recipientEncryptedKeys` includes a recipient identifier and encrypted key for one or more recipients. The `KeyAgreeRecipientIdentifier` is a CHOICE with two alternatives specifying the recipient's certificate, and thereby the recipient's public key, that was used by the sender to generate a pairwise key-encryption key. The `encryptedKey` is the result of encrypting the content-encryption key or the content-authenticated-encryption key with the second pairwise key-encryption key. `EncryptedKey` is an OCTET STRING. The `RecipientEncryptedKeys` type is defined in [Section 6.2.2](#) of [\[RFC5652\]](#).

## 5. Key Derivation

Many KDFs internally employ a one-way hash function. When this is the case, the hash function that is used is indirectly indicated by the `KeyDerivationAlgorithmIdentifier`. HKDF [\[RFC5869\]](#) is one example of a KDF that makes use of a hash function.

Other KDFs internally employ an encryption algorithm. When this is the case, the encryption that is used is indirectly indicated by the `KeyDerivationAlgorithmIdentifier`. For example, AES-128-CMAC can be used for randomness extraction in a KDF as described in [\[NIST2018\]](#).

A KDF has several input values. This section describes the conventions for using the KDF to compute the key-encryption key for `KeyTransPSKRecipientInfo` and `KeyAgreePSKRecipientInfo`. For simplicity, the terminology used in the HKDF specification [\[RFC5869\]](#) is used here.

The KDF inputs are:

- IKM is the input keying material; it is the symmetric secret input to the KDF. For `KeyTransPSKRecipientInfo`, it is the key-derivation key. For `KeyAgreePSKRecipientInfo`, it is the pairwise key-encryption key produced by the key agreement algorithm.
- salt is an optional non-secret random value. Many KDFs do not require a salt, and the `KeyDerivationAlgorithmIdentifier` assignments for HKDF [\[RFC8619\]](#) do not offer a parameter for a salt. If a particular KDF requires a salt, then the salt value is provided as a parameter of the `KeyDerivationAlgorithmIdentifier`.
- L is the length of output keying material in octets; the value depends on the key-encryption algorithm that will be used. The algorithm is identified by the `KeyEncryptionAlgorithmIdentifier`. In addition, the OBJECT IDENTIFIER portion of the `KeyEncryptionAlgorithmIdentifier` is included in the next input value, called "info".
- info is optional context and application specific information. The DER encoding of `CMSORforPSKOtherInfo` is used as the info value, and the PSK is included in this structure. Note that EXPLICIT tagging is used in the ASN.1 module that defines this structure. For `KeyTransPSKRecipientInfo`, the ENUMERATED value of 5 is used. For `KeyAgreePSKRecipientInfo`, the ENUMERATED value of 10 is used. `CMSORforPSKOtherInfo` is defined by the following ASN.1 structure:

```
CMSORIPSKOtherInfo ::= SEQUENCE {
  psk                OCTET STRING,
  keyMgmtAlgType     ENUMERATED {
    keyTrans          (5),
    keyAgree          (10) },
  keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
  pskLength           INTEGER (1..MAX),
  kdkLength           INTEGER (1..MAX) }
```

The fields of type CMSORIPSKOtherInfo have the following meanings:

- psk is an OCTET STRING; it contains the PSK.
- keyMgmtAlgType is either set to 5 or 10. For KeyTransPSKRecipientInfo, the ENUMERATED value of 5 is used. For KeyAgreePSKRecipientInfo, the ENUMERATED value of 10 is used.
- keyEncryptionAlgorithm is the KeyEncryptionAlgorithmIdentifier, which identifies the algorithm and provides algorithm parameters, if any.
- pskLength is a positive integer; it contains the length of the PSK in octets.
- kdkLength is a positive integer; it contains the length of the key-derivation key in octets. For KeyTransPSKRecipientInfo, the key-derivation key is generated by the sender. For KeyAgreePSKRecipientInfo, the key-derivation key is the pairwise key-encryption key produced by the key agreement algorithm.

The KDF output is:

- OKM is the output keying material, which is exactly L octets. The OKM is the key-encryption key that is used to encrypt the content-encryption key or the content-authenticated-encryption key.

An acceptable KDF **MUST** accept IKM, L, and info inputs; an acceptable KDF **MAY** also accept salt and other inputs. All of these inputs **MUST** influence the output of the KDF. If the KDF requires a salt or other inputs, then those inputs **MUST** be provided as parameters of the KeyDerivationAlgorithmIdentifier.

## 6. ASN.1 Module

This section contains the ASN.1 module for the two key management techniques defined in this document. This module imports types from other ASN.1 modules that are defined in [\[RFC5912\]](#) and [\[RFC6268\]](#).

```

<CODE BEGINS>

CMSORIforPSK-2019
  { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) modules(0) id-mod-cms-ori-psk-2019(69) }

DEFINITIONS EXPLICIT TAGS ::=
BEGIN

-- EXPORTS ALL

IMPORTS

AlgorithmIdentifier{}, KEY-DERIVATION
  FROM AlgorithmInformation-2009 -- [RFC5912]
  { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-algorithmInformation-02(58) }

OTHER-RECIPIENT, OtherRecipientInfo, CMSVersion,
KeyTransRecipientInfo, OriginatorIdentifierOrKey,
UserKeyingMaterial, RecipientEncryptedKeys, EncryptedKey,
KeyDerivationAlgorithmIdentifier, KeyEncryptionAlgorithmIdentifier
  FROM CryptographicMessageSyntax-2010 -- [RFC6268]
  { iso(1) member-body(2) us(840) rsadsi(113549)
    pkcs(1) pkcs-9(9) smime(16) modules(0)
    id-mod-cms-2009(58) } ;
--
-- OtherRecipientInfo Types (ori-)
--

SupportedOtherRecipInfo OTHER-RECIPIENT ::= {
  ori-keyTransPSK |
  ori-keyAgreePSK,
  ... }

--
-- Key Transport with Pre-Shared Key
--

ori-keyTransPSK OTHER-RECIPIENT ::= {
  KeyTransPSKRecipientInfo IDENTIFIED BY id-ori-keyTransPSK }

id-ori OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
  rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) 13 }

id-ori-keyTransPSK OBJECT IDENTIFIER ::= { id-ori 1 }

KeyTransPSKRecipientInfo ::= SEQUENCE {
  version CMSVersion, -- always set to 0
  pskid PreSharedKeyIdentifier,
  kdfAlgorithm KeyDerivationAlgorithmIdentifier,
  keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
  ktris KeyTransRecipientInfos,
  encryptedKey EncryptedKey }

PreSharedKeyIdentifier ::= OCTET STRING

```

```
KeyTransRecipientInfos ::= SEQUENCE OF KeyTransRecipientInfo
--
-- Key Agreement with Pre-Shared Key
--

ori-keyAgreePSK OTHER-RECIPIENT ::= {
  KeyAgreePSKRecipientInfo IDENTIFIED BY id-ori-keyAgreePSK }

id-ori-keyAgreePSK OBJECT IDENTIFIER ::= { id-ori 2 }
KeyAgreePSKRecipientInfo ::= SEQUENCE {
  version CMSVersion, -- always set to 0
  pskid PreSharedKeyIdentifier,
  originator [0] EXPLICIT OriginatorIdentifierOrKey,
  ukm [1] EXPLICIT UserKeyingMaterial OPTIONAL,
  kdfAlgorithm KeyDerivationAlgorithmIdentifier,
  keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
  recipientEncryptedKeys RecipientEncryptedKeys }

--
-- Structure to provide 'info' input to the KDF,
-- including the Pre-Shared Key
--

CMSORIforPSKOtherInfo ::= SEQUENCE {
  psk OCTET STRING,
  keyMgmtAlgType ENUMERATED {
    keyTrans (5),
    keyAgree (10) },
  keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
  pskLength INTEGER (1..MAX),
  kdkLength INTEGER (1..MAX) }

END
<CODE ENDS>
```

## 7. Security Considerations

The security considerations related to the CMS enveloped-data content type in [\[RFC5652\]](#) and the security considerations related to the CMS authenticated-enveloped-data content type in [\[RFC5083\]](#) continue to apply.

Implementations of the key derivation function must compute the entire result, which, in this specification, is a key-encryption key, before outputting any portion of the result. The resulting key-encryption key must be protected. Compromise of the key-encryption key may result in the disclosure of all content-encryption keys or content-authenticated-encryption keys that were protected with that keying material; this, in turn, may result in the disclosure of the content. Note that there are two key-encryption keys when a PSK with a key agreement algorithm is used, with similar consequences for the compromise of either one of these keys.

Implementations must protect the PSK, key transport private key, agreement private key, and key-derivation key. Compromise of the PSK will make the encrypted content vulnerable to the future invention of a large-scale quantum computer. Compromise of the PSK and either the key transport private key or the agreement private key may result in the disclosure of all contents protected with that combination of keying material. Compromise of the PSK and the key-derivation key may result in the disclosure of all contents protected with that combination of keying material.

A large-scale quantum computer will essentially negate the security provided by the key transport algorithm or the key agreement algorithm, which means that the attacker with a large-scale quantum computer can discover the key-derivation key. In addition, a large-scale quantum computer effectively cuts the security provided by a symmetric key algorithm in half. Therefore, the PSK needs at least 256 bits of entropy to provide 128 bits of security. To match that same level of security, the key derivation function needs to be quantum resistant and produce a key-encryption key that is at least 256 bits in length. Similarly, the content-encryption key or content-authenticated-encryption key needs to be at least 256 bits in length.

When using a PSK with a key transport or a key agreement algorithm, a key-encryption key is produced to encrypt the content-encryption key or content-authenticated-encryption key. If the key-encryption algorithm is different than the algorithm used to protect the content, then the effective security is determined by the weaker of the two algorithms. If, for example, content is encrypted with 256-bit AES and the key is wrapped with 128-bit AES, then, at most, 128 bits of protection are provided. Implementers must ensure that the key-encryption algorithm is as strong or stronger than the content-encryption algorithm or content-authenticated-encryption algorithm.

The selection of the key-derivation function imposes an upper bound on the strength of the resulting key-encryption key. The strength of the selected key-derivation function should be at least as strong as the key-encryption algorithm that is selected. NIST SP 800-56C Revision 1 [NIST2018] offers advice on the security strength of several popular key-derivation functions.

Implementers should not mix quantum-resistant key management algorithms with their non-quantum-resistant counterparts. For example, the same content should not be protected with KeyTransRecipientInfo and KeyTransPSKRecipientInfo. Likewise, the same content should not be protected with KeyAgreeRecipientInfo and KeyAgreePSKRecipientInfo. Doing so would make the content vulnerable to the future invention of a large-scale quantum computer.

Implementers should not send the same content in different messages, one using a quantum-resistant key management algorithm and the other using a non-quantum-resistant key management algorithm, even if the content-encryption key is generated independently. Doing so may allow an eavesdropper to correlate the messages, making the content vulnerable to the future invention of a large-scale quantum computer.

This specification does not require that PSK be known only by the sender and recipients. The PSK may be known to a group. Since confidentiality depends on the key transport or key agreement algorithm, knowledge of the PSK by other parties does not inherently enable eavesdropping. However, group members can record the traffic of other members and then decrypt it if they

ever gain access to a large-scale quantum computer. Also, when many parties know the PSK, there are many opportunities for theft of the PSK by an attacker. Once an attacker has the PSK, they can decrypt stored traffic if they ever gain access to a large-scale quantum computer in the same manner as a legitimate group member.

Sound cryptographic key hygiene is to use a key for one and only one purpose. Use of the recipient's public key for both the traditional CMS and the PSK-mixing variation specified in this document would be a violation of this principle; however, there is no known way for an attacker to take advantage of this situation. That said, an application should enforce separation whenever possible. For example, a purpose identifier for use in the X.509 extended key usage certificate extension [RFC5280] could be identified in the future to indicate that a public key should only be used in conjunction with or without a PSK.

Implementations must randomly generate key-derivation keys as well as content-encryption keys or content-authenticated-encryption keys. Also, the generation of public/private key pairs for the key transport and key agreement algorithms rely on random numbers. The use of inadequate pseudorandom number generators (PRNGs) to generate cryptographic keys can result in little or no security. An attacker may find it much easier to reproduce the PRNG environment that produced the keys, searching the resulting small set of possibilities, rather than brute-force searching the whole key space. The generation of quality random numbers is difficult. [RFC4086] offers important guidance in this area.

Implementers should be aware that cryptographic algorithms become weaker with time. As new cryptanalysis techniques are developed and computing performance improves, the work factor to break a particular cryptographic algorithm will be reduced. Therefore, cryptographic algorithm implementations should be modular, allowing new algorithms to be readily inserted. That is, implementers should be prepared for the set of supported algorithms to change over time.

The security properties provided by the mechanisms specified in this document can be validated using formal methods. A ProVerif proof in [H2019] shows that an attacker with a large-scale quantum computer that is capable of breaking the Diffie-Hellman key agreement algorithm cannot disrupt the delivery of the content-encryption key to the recipient and that the attacker cannot learn the content-encryption key from the protocol exchange.

## 8. Privacy Considerations

An observer can see which parties are using each PSK simply by watching the PSK key identifiers. However, the addition of these key identifiers does not really weaken the privacy situation. When key transport is used, the RecipientIdentifier is always present, and it clearly identifies each recipient to an observer. When key agreement is used, either the IssuerAndSerialNumber or the RecipientKeyIdentifier is always present, and these clearly identify each recipient.

## 9. IANA Considerations

One object identifier for the ASN.1 module in [Section 6](#) was assigned in the "SMI Security for S/MIME Module Identifier (1.2.840.113549.1.9.16.0)" registry [\[IANA\]](#):

```
id-mod-cms-ori-psk-2019 OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
  pkcs-9(9) smime(16) mod(0) 69 }
```

One new entry has been added in the "SMI Security for S/MIME Mail Security (1.2.840.113549.1.9.16)" registry [\[IANA\]](#):

```
id-ori OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
  rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) 13 }
```

A new registry titled "SMI Security for S/MIME Other Recipient Info Identifiers (1.2.840.113549.1.9.16.13)" has been created.

Updates to the new registry are to be made according to the Specification Required policy as defined in [\[RFC8126\]](#). The expert is expected to ensure that any new values identify additional RecipientInfo structures for use with the CMS. Object identifiers for other purposes should not be assigned in this arc.

Two assignments were made in the new "SMI Security for S/MIME Other Recipient Info Identifiers (1.2.840.113549.1.9.16.13)" registry [\[IANA\]](#) with references to this document:

```
id-ori-keyTransPSK OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
  pkcs-9(9) smime(16) id-ori(13) 1 }

id-ori-keyAgreePSK OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
  pkcs-9(9) smime(16) id-ori(13) 2 }
```

## 10. References

### 10.1. Normative References

- [RFC2119]** Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC5083]** Housley, R., "Cryptographic Message Syntax (CMS) Authenticated-Enveloped-Data Content Type", RFC 5083, DOI 10.17487/RFC5083, November 2007, <<https://www.rfc-editor.org/info/rfc5083>>.

- [RFC5652] Housley, R., "Cryptographic Message Syntax (CMS)", STD 70, RFC 5652, DOI 10.17487/RFC5652, September 2009, <<https://www.rfc-editor.org/info/rfc5652>>.
- [RFC5912] Hoffman, P. and J. Schaad, "New ASN.1 Modules for the Public Key Infrastructure Using X.509 (PKIX)", RFC 5912, DOI 10.17487/RFC5912, June 2010, <<https://www.rfc-editor.org/info/rfc5912>>.
- [RFC6268] Schaad, J. and S. Turner, "Additional New ASN.1 Modules for the Cryptographic Message Syntax (CMS) and the Public Key Infrastructure Using X.509 (PKIX)", RFC 6268, DOI 10.17487/RFC6268, July 2011, <<https://www.rfc-editor.org/info/rfc6268>>.
- [RFC8126] Cotton, M., Leiba, B., and T. Narten, "Guidelines for Writing an IANA Considerations Section in RFCs", BCP 26, RFC 8126, DOI 10.17487/RFC8126, June 2017, <<https://www.rfc-editor.org/info/rfc8126>>.
- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.
- [X680] ITU-T, "Information technology -- Abstract Syntax Notation One (ASN.1): Specification of basic notation", ITU-T Recommendation X.680, August 2015.
- [X690] ITU-T, "Information technology -- ASN.1 encoding rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER)", ITU-T Recommendation X.690, August 2015.

## 10.2. Informative References

- [AES] National Institute of Standards and Technology, "Advanced Encryption Standard (AES)", DOI 10.6028/NIST.FIPS.197, NIST PUB 197, November 2001, <<https://doi.org/10.6028/NIST.FIPS.197>>.
- [C2PQ] Hoffman, P., "The Transition from Classical to Post-Quantum Cryptography", Work in Progress, Internet-Draft, draft-hoffman-c2pq-06, 25 November 2019, <<https://tools.ietf.org/html/draft-hoffman-c2pq-06>>.
- [FGHT2016] Fried, J., Gaudry, P., Heninger, N., and E. Thome, "A kilobit hidden SNFS discrete logarithm computation", Cryptology ePrint Archive Report 2016/961, October 2016, <<https://eprint.iacr.org/2016/961.pdf>>.
- [H2019] Hammell, J., "Subject: [lamps] WG Last Call for draft-ietf-lamps-cms-mix-with-psk", message to the IETF mailing list, May 2019, <[https://mailarchive.ietf.org/arch/msg/spasm/\\_6d\\_4jp3sOprAnbU2fp\\_yp\\_-6-k](https://mailarchive.ietf.org/arch/msg/spasm/_6d_4jp3sOprAnbU2fp_yp_-6-k)>.
- [IANA] IANA, "Structure of Management Information (SMI) Numbers (MIB Module Registrations)", , <<https://www.iana.org/assignments/smi-numbers>>.
- [NAS2019]

National Academies of Sciences, Engineering, and Medicine, "Quantum Computing: Progress and Prospects", DOI 10.17226/25196, 2019, <<https://doi.org/10.17226/25196>>.

- [NIST2018] Barker, E., Chen, L., and R. Davis, "Recommendation for Key-Derivation Methods in Key-Establishment Schemes", NIST Special Publication 800-56C Revision 1, April 2018, <<https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-56Cr1.pdf>>.
- [RFC2631] Rescorla, E., "Diffie-Hellman Key Agreement Method", RFC 2631, DOI 10.17487/RFC2631, June 1999, <<https://www.rfc-editor.org/info/rfc2631>>.
- [RFC4086] Eastlake 3rd, D., Schiller, J., and S. Crocker, "Randomness Requirements for Security", BCP 106, RFC 4086, DOI 10.17487/RFC4086, June 2005, <<https://www.rfc-editor.org/info/rfc4086>>.
- [RFC5280] Cooper, D., Santesson, S., Farrell, S., Boeyen, S., Housley, R., and W. Polk, "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", RFC 5280, DOI 10.17487/RFC5280, May 2008, <<https://www.rfc-editor.org/info/rfc5280>>.
- [RFC5753] Turner, S. and D. Brown, "Use of Elliptic Curve Cryptography (ECC) Algorithms in Cryptographic Message Syntax (CMS)", RFC 5753, DOI 10.17487/RFC5753, January 2010, <<https://www.rfc-editor.org/info/rfc5753>>.
- [RFC5869] Krawczyk, H. and P. Eronen, "HMAC-based Extract-and-Expand Key Derivation Function (HKDF)", RFC 5869, DOI 10.17487/RFC5869, May 2010, <<https://www.rfc-editor.org/info/rfc5869>>.
- [RFC8017] Moriarty, K., Ed., Kaliski, B., Jonsson, J., and A. Rusch, "PKCS #1: RSA Cryptography Specifications Version 2.2", RFC 8017, DOI 10.17487/RFC8017, November 2016, <<https://www.rfc-editor.org/info/rfc8017>>.
- [RFC8619] Housley, R., "Algorithm Identifiers for the HMAC-based Extract-and-Expand Key Derivation Function (HKDF)", RFC 8619, DOI 10.17487/RFC8619, June 2019, <<https://www.rfc-editor.org/info/rfc8619>>.
- [S1994] Shor, P., "Algorithms for Quantum Computation: Discrete Logarithms and Factoring", Proceedings of the 35th Annual Symposium on Foundations of Computer Science, pp. 124-134", November 1994.

## Appendix A. Key Transport with PSK Example

This example shows the establishment of an AES-256 content-encryption key using:

- a pre-shared key of 256 bits;
- key transport using RSA PKCS#1 v1.5 with a 3072-bit key;
- key derivation using HKDF with SHA-384; and
- key wrap using AES-256-KEYWRAP.

In real-world use, the originator would encrypt the key-derivation key in their own RSA public key as well as the recipient's public key. This is omitted in an attempt to simplify the example.

## A.1. Originator Processing Example

The pre-shared key known to Alice and Bob, in hexadecimal, is:

```
c244cdd11a0d1f39d9b61282770244fb0f6befb91ab7f96cb05213365cf95b15
```

The identifier assigned to the pre-shared key is:

```
ptf-kmc:13614122112
```

Alice obtains Bob's public key:

```
-----BEGIN PUBLIC KEY-----  
MIIBojANBgkqhkiG9w0BAQEFAAOCAy8AMIIBigKCAYEA3ocW14cxncPJ47fnEjBZ  
AyfC2lqapL3ET4jvV6C7gGeVrRQxWPDwl+cFYBBR2ej3j3/0ecDmu+XuVi2+s5JH  
Keeza+itfuhsz3yifgeEpeK8T+SusHhn20/NBLhYKbh3kiAcCgQ56dpDrDvDcLqq  
vS3jg/V0+OPnZbofoH00evt8Q/roahJe1PlIyQ4udWB8zZezJ4mLLfb0A9YVaYXx  
2AHHZJevo3nmRnlgJXo6mE00E/6qkhjDHKSMdl2WG6m09TCDZc9qY3cAJDU6Ir0v  
SH7qU78/vN13y4U0Fkn8hM4kmZ6bJqbZt5NbjHtY4uQ0VMW3RyESzhr002mrp39a  
uLNh3EXdXaV1tk75H3qC7zJaeGWMJyQfOE3YfEGRKn8fxubji716D8UecAxAzFy  
FL6m1Ji0yV5acAi0pxN14qRYZdHnXOM9DqGIGpoeY1UuD4Mo05osOq0UpBJHA9fS  
whSZG7VNf+vgNWTNLNYSYLI04KiMduInVU6ds+QPz+KKtAgMBAAE=  
-----END PUBLIC KEY-----
```

Bob's RSA public key has the following key identifier:

```
9eeb67c9b95a74d44d2f16396680e801b5cba49c
```

Alice randomly generates a content-encryption key:

```
c8adc30f4a3e20ac420caa76a68f5787c02ab42afea20d19672fd963a5338e83
```

Alice randomly generates a key-derivation key:

```
df85af9e3cebffde6e9b9d24263db31114d0a8e33a0d50e05eb64578ccde81eb
```

Alice encrypts the key-derivation key in Bob's public key:

```

52693f12140c91dea2b44c0b7936f6be46de8a7bfab072bcb6ecfd56b06a9f65
1bd4669d336aef7b449e5cd9b151893b7c7a3b8e364394840b0a5434cbf10e1b
5670aefd074faf380665d204fb95153543346f36c2125dba6f4d23d2bc61434b
5e36ff72b3eafe57c6cf7f74924c309f174b0b8753554b58ed33a8848d707a98
c0c2b1ddcfd09e31fe213ca0a48dd157bd7d842e85cc76f77710d58efaaa0525
c651bcd1410fb47534ecabaf5ab7daabed809d4b97220caf6d4929c5fb684f7b
b8692e6e70332ff9b3f7c11d6cac51d4a35593173d48f80ca843b89789d625e7
997ad7d674d25a2a7d165a5f39b3cb6358e937bdb02ac8a524ac93113cedd9ad
c68263025c0bb0997d716e58d4d7b69739bf591f3e71c7678dc0df96f3df9e8a
a5738f4f9ce21489f300e040891b20b2ab6d9051b3c2e68efa2fa9799a706878
d5f462018c021d6669ed649f9acdf78476810198bfb8bd41ffedc585eafa957e
ea1d3625e4bed376e7ae49718aee2f575c401a26a29941d8da5b7ee9aca36471

```

Alice produces a 256-bit key-encryption key with HKDF using SHA-384; the secret value is the key-derivation key; and the 'info' is the DER-encoded CMSORIforPSKOtherInfo structure with the following values:

```

0 56: SEQUENCE {
2 32: OCTET STRING
   : C2 44 CD D1 1A 0D 1F 39 D9 B6 12 82 77 02 44 FB
   : 0F 6B EF B9 1A B7 F9 6C B0 52 13 36 5C F9 5B 15
36 1: ENUMERATED 5
39 11: SEQUENCE {
41 9: OBJECT IDENTIFIER aes256-wrap (2 16 840 1 101 3 4 1 45)
   : }
52 1: INTEGER 32
55 1: INTEGER 32
   : }

```

The DER encoding of CMSORIforPSKOtherInfo produces 58 octets:

```

30380420c244cdd11a0d1f39d9b61282770244fb0f6befb91ab7f96cb0521336
5cf95b150a0105300b060960864801650304012d020120020120

```

The HKDF output is 256 bits:

```

f319e9cebb35f1c6a7a9709b8760b9d0d3e30e16c5b2b69347e9f00ca540a232

```

Alice uses AES-KEY-WRAP to encrypt the 256-bit content-encryption key with the key-encryption key:

```

ea0947250fa66cd525595e52a69aaade88efcf1b0f108abe291060391b1cdf59
07f36b4067e45342

```

Alice encrypts the content using AES-256-GCM with the content-encryption key. The 12-octet nonce used is:

```
cafebabefacedbaddecaf888
```

The content plaintext is:

```
48656c6c6f2c20776f726c6421
```

The resulting ciphertext is:

```
9af2d16f21547fcefed9b3ef2d
```

The resulting 12-octet authentication tag is:

```
a0e5925cc184e0172463c44c
```

## A.2. ContentInfo and AuthEnvelopedData

Alice encodes the AuthEnvelopedData and the ContentInfo and sends the result to Bob. The resulting structure is:

```

0 650: SEQUENCE {
4 11:  OBJECT IDENTIFIER
      :  authEnvelopedData (1 2 840 113549 1 9 16 1 23)
17 633:  [0] {
21 629:  SEQUENCE {
25 1:    INTEGER 0
28 551:  SET {
32 547:  [4] {
36 11:   OBJECT IDENTIFIER
      :   keyTransPSK (1 2 840 113549 1 9 16 13 1)
49 530:  SEQUENCE {
53 1:    INTEGER 0
56 19:   OCTET STRING 'ptf-kmc:13614122112'
77 13:   SEQUENCE {
79 11:   OBJECT IDENTIFIER
      :   hkdf-with-sha384 (1 2 840 113549 1 9 16 3 29)
      :   }
92 11:   SEQUENCE {
94 9:    OBJECT IDENTIFIER
      :    aes256-wrap (2 16 840 1 101 3 4 1 45)
      :    }
105 432: SEQUENCE {
109 428: SEQUENCE {
113 1:   INTEGER 2
116 20:  [0]
      :   9E EB 67 C9 B9 5A 74 D4 4D 2F 16 39 66 80 E8 01
      :   B5 CB A4 9C
138 13:  SEQUENCE {
140 9:   OBJECT IDENTIFIER
      :   rsaEncryption (1 2 840 113549 1 1 1)
151 0:   NULL
      :   }
153 384: OCTET STRING
      :   52 69 3F 12 14 0C 91 DE A2 B4 4C 0B 79 36 F6 BE
      :   46 DE 8A 7B FA B0 72 BC B6 EC FD 56 B0 6A 9F 65
      :   1B D4 66 9D 33 6A EF 7B 44 9E 5C D9 B1 51 89 3B
      :   7C 7A 3B 8E 36 43 94 84 0B 0A 54 34 CB F1 0E 1B
      :   56 70 AE FD 07 4F AF 38 06 65 D2 04 FB 95 15 35
      :   43 34 6F 36 C2 12 5D BA 6F 4D 23 D2 BC 61 43 4B
      :   5E 36 FF 72 B3 EA FE 57 C6 CF 7F 74 92 4C 30 9F
      :   17 4B 0B 87 53 55 4B 58 ED 33 A8 84 8D 70 7A 98
      :   C0 C2 B1 DD CF D0 9E 31 FE 21 3C A0 A4 8D D1 57
      :   BD 7D 84 2E 85 CC 76 F7 77 10 D5 8E FE AA 05 25
      :   C6 51 BC D1 41 0F B4 75 34 EC AB AF 5A B7 DA AB
      :   ED 80 9D 4B 97 22 0C AF 6D 49 29 C5 FB 68 4F 7B
      :   B8 69 2E 6E 70 33 2F F9 B3 F7 C1 1D 6C AC 51 D4
      :   A3 55 93 17 3D 48 F8 0C A8 43 B8 97 89 D6 25 E7
      :   99 7A D7 D6 74 D2 5A 2A 7D 16 5A 5F 39 B3 CB 63
      :   58 E9 37 BD B0 2A C8 A5 24 AC 93 11 3C ED D9 AD
      :   C6 82 63 02 5C 0B B0 99 7D 71 6E 58 D4 D7 B6 97
      :   39 BF 59 1F 3E 71 C7 67 8D C0 DF 96 F3 DF 9E 8A
      :   A5 73 8F 4F 9C E2 14 89 F3 00 E0 40 89 1B 20 B2
      :   AB 6D 90 51 B3 C2 E6 8E FA 2F A9 79 9A 70 68 78
      :   D5 F4 62 01 8C 02 1D 66 69 ED 64 9F 9A CD F7 84
      :   76 81 01 98 BF B8 BD 41 FF ED C5 85 EA FA 95 7E
      :   EA 1D 36 25 E4 BE D3 76 E7 AE 49 71 8A EE 2F 57
      :   5C 40 1A 26 A2 99 41 D8 DA 5B 7E E9 AC A3 64 71

```

```

:      }
:      }
541  40:  OCTET STRING
:      EA 09 47 25 0F A6 6C D5 25 59 5E 52 A6 9A AA DE
:      88 EF CF 1B 0F 10 8A BE 29 10 60 39 1B 1C DF 59
:      07 F3 6B 40 67 E4 53 42
:      }
:      }
:      }
583  55:  SEQUENCE {
585   9:  OBJECT IDENTIFIER data (1 2 840 113549 1 7 1)
596  27:  SEQUENCE {
598   9:  OBJECT IDENTIFIER
:      aes256-GCM (2 16 840 1 101 3 4 1 46)
609  14:  SEQUENCE {
611  12:  OCTET STRING
:      CA FE BA BE FA CE DB AD DE CA F8 88
:      }
:      }
625  13:  [0]
:      9A F2 D1 6F 21 54 7F CE FE D9 B3 EF 2D
:      }
640  12:  OCTET STRING A0 E5 92 5C C1 84 E0 17 24 63 C4 4C
:      }
:      }
:      }

```

### A.3. Recipient Processing Example

Bob's private key is:

```

-----BEGIN RSA PRIVATE KEY-----
MIIG5AIBAAKCAIEA3ocW14cxncPJ47fnEjBZAyfc2lqapL3ET4jvV6C7gGeVrRQx
WPDwL+cFYBBR2ej3j3/0ecDmu+XUVi2+s5JHKeeza+itfuhsz3yi fgeEpeK8T+Su
sHhn20/NBLhYKbh3kiAcCgQ56dpDrDvDcLqqvS3jg/V0+0PnZbofoH00evt8Q/ro
ahJe1PLIyQ4udWB8zZezJ4mLLfb0A9YVaYXx2AHHZJevo3nmRnlgJXo6mE00E/6q
khjDhKSmDl2WG6m09TCDZc9qY3cAJDU6Ir0vSH7qUL8/vN13y4U0Fkn8m4kmZ6b
JqbZt5NbjHtY4uQ0VMW3RyESzhr002mrp39auLnnH3EXdXaV1tk75H3qC7zJaeGW
MJyQf0E3YfEGRKn8fxubji716D8UecAxAzFyFL6m1Ji0yV5acAiOpxN14qRYZdHn
XOM9DqGIGpoeY1UuD4Mo05os0qOUpBJHA9fSwS7GVNF+vgNWTlnYSYLI04KiMd
ulnvU6ds+QPz+KKtAgMBAAECggGATfSkUjJJcJLvDk4aScpSx6+Rakf2hrdS3x
jwqhyUfAXgTTeUQQBs1HVtHCgxQd+qLXyn3/qu8TeZVwG4NPztyi/Z5yB1w0GJEV
3k8N/ytul6pJFFn6p48VM01bUdTrkMjBxERe6g/rr6dBQeeItCaOK7N5SIJH30qh
9xYUB5tH4rquCdYlmt17Tx8CaVqU9qPY3v0dQE0wIjMV8uQUR8rHS09KkSj8AGs
Lq9kcuPpvjC2oqMRcNePS2WVh8xPFktRLLRazgLP8STHAtjT6SLJ2UzkUqfDHGK
q/BoXxBDu6L1VDwdnIS5HXtL54ELcXWso0yKF8/ilmhRUIUWRZFmLS1ok8IC5IgX
UdL9rJVZFRlyAwmcCEvRM1asbBrhyEyshS0uN5nHJi2WVJ+wSHijeKllqeLlpMk
HrdIYBq4Nz7/zXmiQphpAy+yQeanhP80406C8e7RwKdpxe44su4Z8fEgA5yQx0u7
8yR1EhGkydX5bhBLR5Cm1VM7rT2BAoHBAP/+e5gZLNf/ECTEBZjeiJ0Vshsz0oUq
haUQPA+9Bx9pytsoKm5oQhB7QDaxAvrn8/FUW2aAkaXsaj9F+/q30AYSQtExai9J
fdKKook3oimN8/yNRsKmhfjG0j8hd4+GjX0qoMSBCEVdT+bAjry8wgQrqReuZnu
oXU85dmb3jvv0uIczIKvTIeyjXE5afjQIJLmZFXsBm09BG87Ia5EFUKly96B0MJh
/QWEzuYYXDqOFfzQtkaefXNFW21Kz4Hw2QKBwQDeiGh4lxCGTjECvG7fauMGLu+q
DSdYyMHi6t6mx57eS16Ejv0rLXKiTYhIyzW8Kw0rf/CSB2j8ig1GkMLT0grGIJ1
0322o50F0r5o0mZPueer4p0yAP0fgQ8DD1L3JBpY68/8MhYbsizVrR+Ar4jm0f96
W2bF5Xj3h+fQTDmKx6VrCCQ6miRmBUzH+ZPs5n/ly0zAYrqiK0anaIHy4mjRvlsy
mjZ6z5CG8sISqcLQ/k3QLi5p0Y/v0rdBjgwAW/UCgcEAqGVYgJkDXCzuDvf9EpV4
mpTWB6yIV2ckaP0n/tZi5BgsMepwvZYZt0vMbu28Px7sSpkqUuBKbzJ4pcy8uC3I
SuYiTAhMiHS4rxIBX3BYXSuDD2RD4vG1+XM0h6jVRHXHh0n0XdVfgnmigPGz3jVJ
B8oph/jD802Yck4YCTD0XPEi8Rjusxzro+whvRR+kG0gsGGcKSVNCPj1fNISEte4
gJIId701mUAAzeDjn/VaS/PXQovEMoLssPPKn9NocbKbpAoHBAJnFHJunl22W/lrr
ppmPnIzjI30YVcY0A5vlqLKyGaAsnfYqP1WUNgfvhq2jRsrHx9cnHQI9Hu442PvI
x+c5H30YFJ4ipE3eRRRmAUi4ghY5WgD+1hw8fqyUW7E7L5LbSbGEUVXtrkU5G64T
UR91LEyMF80PATdiV/KD4PWykgarM3tVEuCVACDTQkqNs00i3YPQcm270w6gxfQ
SOEY/kdhCFexJFA8uZvmh6Cp2crczxyBilR/yCqxK00NqLFdOQKBwFbJk5eHPjJz
AYuekMQESPGYCrwIqXgZGCxaqVArHvKsEDx5whI6JWoFYVkfFA8F0MyhukoEb/2x
2qB5T88Dg3EbqjTiLg3qxrWJ20xtUo8pBP2I2wbl2N0wzcbrlyhzEZ8bJyxZu5i1
sYILC8PJ4Qzw6jS4Qpm4y1WHz8e/ELW6VyfmLjZYA7f9WMntdfeQVqCVzNTvKn6f
hg6GSpJTzP4LV3ougi9nQuWXZF2wInsXkLYpsiMbL6Fz34RwohJtYA==
-----END RSA PRIVATE KEY-----

```

Bob decrypts the key-derivation key with his RSA private key:

```
df85af9e3cebffde6e9b9d24263db31114d0a8e33a0d50e05eb64578ccde81eb
```

Bob produces a 256-bit key-encryption key with HKDF using SHA-384; the secret value is the key-derivation key; and the 'info' is the DER-encoded CMSORIforPSKOtherInfo structure with the same values as shown in [Appendix A.1](#). The HKDF output is 256 bits:

```
f319e9cebb35f1c6a7a9709b8760b9d0d3e30e16c5b2b69347e9f00ca540a232
```

Bob uses AES-KEY-WRAP to decrypt the content-encryption key with the key-encryption key; the content-encryption key is:

```
c8adc30f4a3e20ac420caa76a68f5787c02ab42afea20d19672fd963a5338e83
```

Bob decrypts the content using AES-256-GCM with the content-encryption key and checks the received authentication tag. The 12-octet nonce used is:

```
cafebabefacedbaddecaf888
```

The 12-octet authentication tag is:

```
a0e5925cc184e0172463c44c
```

The received ciphertext content is:

```
9af2d16f21547fcefed9b3ef2d
```

The resulting plaintext content is:

```
48656c6c6f2c20776f726c6421
```

## Appendix B. Key Agreement with PSK Example

This example shows the establishment of an AES-256 content-encryption key using:

- a pre-shared key of 256 bits;
- key agreement using ECDH on curve P-384 and X9.63 KDF with SHA-384;
- key derivation using HKDF with SHA-384; and
- key wrap using AES-256-KEYWRAP.

In real-world use, the originator would treat themselves as an additional recipient by performing key agreement with their own static public key and the ephemeral private key generated for this message. This is omitted in an attempt to simplify the example.

### B.1. Originator Processing Example

The pre-shared key known to Alice and Bob, in hexadecimal, is:

```
4aa53cbf500850dd583a5d9821605c6fa228fb5917f87c1c078660214e2d83e4
```

The identifier assigned to the pre-shared key is:

```
ptf-kmc:216840110121
```

Alice randomly generates a content-encryption key:

```
937b1219a64d57ad81c05cc86075e86017848c824d4e85800c731c5b7b091033
```

Alice obtains Bob's static ECDH public key:

```
-----BEGIN PUBLIC KEY-----  
MHYwEAYHkoZIZj0CAQYFK4EEACIDYgAEScGPB09nmUwGrgbGEoFY9HR/bCo0WyeY  
/dePQVrwZmwN2yMJm02d1kWCvLTz8U7atinxyIRe9CV54yau1KWU/wbkhPDnzuSM  
YkcpXMG032z3JetEloW5aF0ja13vv/W5  
-----END PUBLIC KEY-----
```

It has a key identifier of:

```
e8218b98b8b7d86b5e9ebdc8aeb8c4ecdc05c529
```

Alice generates an ephemeral ECDH key pair on the same curve:

```
-----BEGIN EC PRIVATE KEY-----  
MIGkAgEBBDCMiWLG44ik+L8cYVvJrQdLcFA+PwlgRF+Wt1Ab25qUh80B70ePWjxp  
/b8P6IOuI6GgBwYFK4EEACKhZANiAAQ5G0EmJk/2ks8sXY1kzbuG3Uu3ttWwQRXA  
LFDJICjvYfr+yTp0QVvkchm88FAh9MEkw4NKctokKNgpsqXyrT3Dt0g76oIYENpPb  
GE5lJdjPx9sBsZQdABwlsU0Zb7P/7i8=  
-----END EC PRIVATE KEY-----
```

Alice computes a shared secret called "Z" using Bob's static ECDH public key and her ephemeral ECDH private key; Z is:

```
3f015ed0ff4b99523a95157bbe77e9cc0ee52fcffeb7e41eac79d1c11b6cc556  
19cf8807e6d800c2de40240fe0e26adc
```

Alice computes the pairwise key-encryption key, called "KEK1", from Z using the X9.63 KDF with the ECC-CMS-SharedInfo structure with the following values:

```

0 21: SEQUENCE {
2 11: SEQUENCE {
4 9: OBJECT IDENTIFIER aes256-wrap (2 16 840 1 101 3 4 1 45)
: }
15 6: [2] {
17 4: OCTET STRING 00 00 00 20
: }
: }

```

The DER encoding of ECC-CMS-SharedInfo produces 23 octets:

```
3015300b060960864801650304012da206040400000020
```

The X9.63 KDF output is the 256-bit KEK1:

```
27dc25ddb0b425f7a968ceada80a8f73c6ccaab115baafcce4a22a45d6b8f3da
```

Alice produces the 256-bit KEK2 with HKDF using SHA-384; the secret value is KEK1; and the 'info' is the DER-encoded CMSORIPSKOtherInfo structure with the following values:

```

0 56: SEQUENCE {
2 32: OCTET STRING
: 4A A5 3C BF 50 08 50 DD 58 3A 5D 98 21 60 5C 6F
: A2 28 FB 59 17 F8 7C 1C 07 86 60 21 4E 2D 83 E4
36 1: ENUMERATED 10
39 11: SEQUENCE {
41 9: OBJECT IDENTIFIER aes256-wrap (2 16 840 1 101 3 4 1 45)
: }
52 1: INTEGER 32
55 1: INTEGER 32
: }

```

The DER encoding of CMSORIPSKOtherInfo produces 58 octets:

```
303804204aa53cbf500850dd583a5d9821605c6fa228fb5917f87c1c07866021
4e2d83e40a010a300b060960864801650304012d020120020120
```

The HKDF output is the 256-bit KEK2:

```
7de693ee30ae22b5f8f6cd026c2164103f4e1430f1ab135dc1fb98954f9830bb
```

Alice uses AES-KEY-WRAP to encrypt the content-encryption key with the KEK2; the wrapped key is:

```
229fe0b45e40003e7d8244ec1b7e7ffb2c8dca16c36f5737222553a71263a92b
de08866a602d63f4
```

Alice encrypts the content using AES-256-GCM with the content-encryption key. The 12-octet nonce used is:

```
dbaddecaf888cafebabeface
```

The plaintext is:

```
48656c6c6f2c20776f726c6421
```

The resulting ciphertext is:

```
fc6d6f823e3ed2d209d0c6ffcfc
```

The resulting 12-octet authentication tag is:

```
550260c42e5b29719426c1ff
```

## B.2. ContentInfo and AuthEnvelopedData

Alice encodes the AuthEnvelopedData and the ContentInfo and sends the result to Bob. The resulting structure is:

```

0 327: SEQUENCE {
4 11:  OBJECT IDENTIFIER
      :  authEnvelopedData (1 2 840 113549 1 9 16 1 23)
17 310: [0] {
21 306: SEQUENCE {
25 1:  INTEGER 0
28 229: SET {
31 226: [4] {
34 11:  OBJECT IDENTIFIER
      :  keyAgreePSK (1 2 840 113549 1 9 16 13 2)
47 210: SEQUENCE {
50 1:  INTEGER 0
53 20:  OCTET STRING 'ptf-kmc:216840110121'
75 85:  [0] {
77 83:  [1] {
79 19:  SEQUENCE {
81 6:  OBJECT IDENTIFIER
      :  ecdhX963KDF-SHA256 (1 3 132 1 11 1)
89 9:  OBJECT IDENTIFIER
      :  aes256-wrap (2 16 840 1 101 3 4 1 45)
      :  }
100 60:  BIT STRING, encapsulates {
103 57:  OCTET STRING
      :  1B 41 26 26 4F F6 92 CF 2C 5D 8D 64 CD BB 86 DD
      :  4B B7 B6 D5 B0 41 15 C0 2C 50 C9 20 28 EF 61 FA
      :  FE C9 3A 4E 41 59 1C 86 6F 3C 14 08 7D 30 49 30
      :  E0 D2 9C B6 89 0A 36 0A 6C
      :  }
      :  }
      :  }
162 13: SEQUENCE {
164 11:  OBJECT IDENTIFIER
      :  hkdf-with-sha384 (1 2 840 113549 1 9 16 3 29)
      :  }
177 11: SEQUENCE {
179 9:  OBJECT IDENTIFIER
      :  aes256-wrap (2 16 840 1 101 3 4 1 45)
      :  }
190 68: SEQUENCE {
192 66: SEQUENCE {
194 22: [0] {
196 20:  OCTET STRING
      :  E8 21 8B 98 B8 B7 D8 6B 5E 9E BD C8 AE B8 C4 EC
      :  DC 05 C5 29
      :  }
218 40:  OCTET STRING
      :  22 9F E0 B4 5E 40 00 3E 7D 82 44 EC 1B 7E 7F FB
      :  2C 8D CA 16 C3 6F 57 37 22 25 53 A7 12 63 A9 2B
      :  DE 08 86 6A 60 2D 63 F4
      :  }
      :  }
      :  }
      :  }
260 55: SEQUENCE {
262 9:  OBJECT IDENTIFIER data (1 2 840 113549 1 7 1)
273 27: SEQUENCE {

```

```

275  9:    OBJECT IDENTIFIER
      :    aes256-GCM (2 16 840 1 101 3 4 1 46)
286 14:    SEQUENCE {
288 12:    OCTET STRING
      :    DB AD DE CA F8 88 CA FE BA BE FA CE
      :    }
      :    }
302 13:    [0]
      :    FC 6D 6F 82 3E 3E D2 D2 09 D0 C6 FF CF
      :    }
317 12:    OCTET STRING 55 02 60 C4 2E 5B 29 71 94 26 C1 FF
      :    }
      :    }
      :    }

```

### B.3. Recipient Processing Example

Bob obtains Alice's ephemeral ECDH public key from the message:

```

-----BEGIN PUBLIC KEY-----
MHYwEAYHKoZIzj0CAQYFK4EEACIDYgAEORtBjiZP9pLPLF2NZM27ht1Lt7bVsEEV
wCxQySAo72H6/sk6TkFZHIZvPBQIfTBJM0DSnLaJCjYKbKl8q09w7To0+qCGBDaT
2xhOZSXYz8fbAbGUHQAcJbFNGW+z/+4v
-----END PUBLIC KEY-----

```

Bob's static ECDH private key is:

```

-----BEGIN EC PRIVATE KEY-----
MIGkAgEBBDAnJ4hB+tTUN9X03/W0RsrYy+qcptlRSYkhaDIisQYPXftU0ugjJEmRk
NTPj4y1IRjegBwYFK4EEACKhZANiAARJwY8E72eZTAauBsYSgVj0dH9sKjRbJ5j9
149BWvBmbA3bIwmY7Z3WRYK8tPPxTtq2KfHIhF70JXnjJq7UpZT/BuSE80f05Ixi
RynEwajfbPcl60SwhbloU6NrXe+/9bk=
-----END EC PRIVATE KEY-----

```

Bob computes a shared secret called "Z" using Alice's ephemeral ECDH public key and his static ECDH private key; Z is:

```

3f015ed0ff4b99523a95157bbe77e9cc0ee52fcffeb7e41eac79d1c11b6cc556
19cf8807e6d800c2de40240fe0e26adc

```

Bob computes the pairwise key-encryption key, KEK1, from Z using the X9.63 KDF with the ECC-CMS-SharedInfo structure with the values shown in [Appendix B.1](#). The X9.63 KDF output is the 256-bit KEK1:

```

27dc25ddb0b425f7a968ceada80a8f73c6ccaab115baafcce4a22a45d6b8f3da

```

Bob produces the 256-bit KEK2 with HKDF using SHA-384; the secret value is KEK1; and the 'info' is the DER-encoded CMSORInfoForPSKOtherInfo structure with the values shown in [Appendix B.1](#). The HKDF output is the 256-bit KEK2:

```
7de693ee30ae22b5f8f6cd026c2164103f4e1430f1ab135dc1fb98954f9830bb
```

Bob uses AES-KEY-WRAP to decrypt the content-encryption key with the KEK2; the content-encryption key is:

```
937b1219a64d57ad81c05cc86075e86017848c824d4e85800c731c5b7b091033
```

Bob decrypts the content using AES-256-GCM with the content-encryption key and checks the received authentication tag. The 12-octet nonce used is:

```
dbaddecacf888cafebabeface
```

The 12-octet authentication tag is:

```
550260c42e5b29719426c1ff
```

The received ciphertext content is:

```
fc6d6f823e3ed2d209d0c6ffcf
```

The resulting plaintext content is:

```
48656c6c6f2c20776f726c6421
```

## Acknowledgements

Many thanks to Roman Danyliw, Ben Kaduk, Burt Kaliski, Panos Kampanakis, Jim Schaad, Robert Sparks, Sean Turner, and Daniel Van Geest for their review and insightful comments. They have greatly improved the design, clarity, and implementation guidance.

## Author's Address

**Russ Housley**

Vigil Security, LLC

516 Dranesville Road

Herndon, VA 20170

United States of America

Email: [housley@vigilsec.com](mailto:housley@vigilsec.com)